

Adviser Firm Application Form

To enable you to start using the Embark platform, please complete the information below and return a hard copy to: 7th Floor, 100 Cannon Street, London EC4N 6EU.

So we can make a start processing your request you can also email us a scanned copy to: sales@embarkplatform.co.uk.

Section 1: Adviser Firm's contact details

Firm Name:			
Network Membership (if applicable):			
Firm Email Address:			
FCA Firm Type:			
Firm FCA Authorisation Number:			
Firm Regulated Advice Status:	<input checked="" type="checkbox"/> Independent <input checked="" type="checkbox"/> Restricted		
Firm Legal Entity Identifier (LEI):			
Firm Address Line 1:			
Firm Address Line 2:			
Firm Postcode:			
Firm Phone Number:		Firm Fax Number:	

Section 2: Adviser Firm bank details

Bank Name*:			
Address Line 1:			
Address Line 2:			
City:			
Post Code:			
Bank Account Name:			
Bank Account Number:		Sort Code:	

*For Network firms, payments will be made to the Network.



sales@embarkplatform.co.uk



0330 058 2121



embarkplatform.co.uk

Section 3: Super User's contact details

Your Adviser Firm's Super User must be a senior employee of the Adviser Firm.

Your Super User is responsible for managing the day to day administration of the Account you have with us on the Embark platform. Your Super User is also responsible for registering or removing new financial advisers, administrators or paraplanners and producing reports which can be downloaded from the platform as may be required by the Adviser Firm business.

Name:	
FCA Regulated Individual Number:	
Job Title:	
Email Address:	
Phone Number:	
Mobile Number:	
Address:	
Post Code:	

If the Adviser Firm would like to receive promotional emails about the Embark Group's products and services, which we think will be of interest, please tick this box.

The Adviser Firm can unsubscribe from these communications or update the Adviser Firms details at any time.

Details of our data protection policies are published in our Privacy Policy, a copy of which can be found on the Embark platform website.

Section 4: Adviser Firm declaration

This form must be signed by person(s) authorised to sign on behalf of the Adviser Firm

Please sign below, ensuring you have read and understood the Embark platform Adviser Terms of Business and the Adviser Terms of Use. If you have any questions, please contact the Customer Service Team on the details below.

Declaration

We/I confirm the above information is true and correct and agree to be bound by the Embark platform Adviser Terms of Business, which set out the terms and conditions upon which the Embark platform will provide platform services to us and our clients.

To be signed by a Director, sole trader or partner or authorised signatory as appropriate.

Signatory 1

Signed:						
Name:						
Position:						
FCA Regulated Individual Number:						
Date:	D	D	M	M	Y	Y

Signatory 2 (if applicable)

Signed:						
Name:						
Position:						
FCA Regulated Individual Number:						
Date:	D	D	M	M	Y	Y